

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FIRST-NAMED INVENTOR OR Andrzej W. Lipkowski et al.
APPLICATION IDENTIFIER:

FOR: NOVEL ANTIMICROBIAL COMPOUNDS



30623

PATENT TRADEMARK OFFICE

November 20, 2001
Boston, Massachusetts

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

**REQUEST FOR FILING A NEW NONPROVISIONAL APPLICATION
UNDER 37 C.F.R. §1.53(b)**

1. This is a request for filing a new nonprovisional application under 37 C.F.R. §1.53(b).
2. ☒ Specification and Drawings (Total pages: 16)
 Specification (15 pages); Claims (3 pages); Abstract (1 page); and
 Drawings: 1 sheet (Fig. 1)
 ☐ Formal
 ☒ Informal
3. ☒ Initial Computer Readable Form Copy of the Sequence Listing (1 diskette);
4. ☒ Paper Copy of the Sequence Listing (5 pages);
5. ☒ Statement in Support of Computer Readable Form Submission (1 page);
6. ☒ Declaration and Power of Attorney
 ☐ Unsigned
 ☒ Signed

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Request for New Nonprovisional Application (37 C.F.R. §1.53(b))

7. ☐ Information Disclosure Statement (IDS)
☐ Copy of IDS and PTO-1449 (1 pages)
☐ Copies of references cited
8. ☒ Assignment Papers
☒ Recordation Form Cover Sheet (PTO-1595)
☒ Assignment Document
9. ☐ Preliminary Amendment
10. ☐ Statement Claiming Small Entity Status
☐ Claiming Small Entity As Independent Inventor (37 C.F.R. §§1.9(f) & 1.27(b)).
☐ Claiming Small Entity As Small Business Concern (37 C.F.R. §§1.9(f) & 1.27(c)).
☐ Claiming Small Entity As Nonprofit Organization (37 C.F.R. §§1.9(f) & 1.27(d)).

11. Fee Calculation

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 C.F.R. 1.16(a) \$740.00
Total Claims (37 C.F.R. 1.16(c))	24	- 20 =	4	\$18.00	\$72.00
Independent Claims (37 C.F.R. 1.16(b))	6	- 3 =	3	\$84.00	\$252.00
Multiple Dependent Claim(s), if any (37 C.F.R. 1.16(d))				\$280.00	0
				SUBTOTAL:	\$1064.00
				Reduction by 50% for filing by small entity:	- \$532.00
				TOTAL FEE:	\$532.00

12. ☐ A check in the amount of \$_____ is enclosed.
13. ☒ The Commissioner is hereby authorized to charge the following fee of **\$532.00** to Deposit Account No. 50-0311, Ref. No. 18475-025 (NEMC-6):
☐ Fees required under 37 C.F.R. §1.16;
☐ Fees required under 37 C.F.R. §1.17;
☐ Fees required under 37 C.F.R. §1.18.
14. ☒ Return Receipt Postcard Enclosed.

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15. ☐ Other Documents Enclosed:

- ☐ Change of Attorney Address In Application.
☐ Limited Recognition under 37 CFR § 10.9(b) for Michel Morency.

Respectfully submitted,



Dated: November 20, 2001

Ingrid A. Beattie, Esq. (Reg. No. 42,306)
Sonia K. Guterman, Esq. (Reg. No. 44,729)
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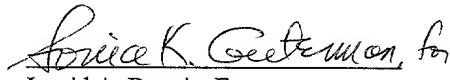
TRA 1596930v1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Lipkowski, *et al*
SERIAL NUMBER: Not Yet Assigned
FILING DATE: Herewith
FOR: NOVEL ANTIMICROBIAL COMPOUNDS

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231, on the 20th day of November, 2001.


Ingrid A. Beattie, Esq.
Registration No. 42,306

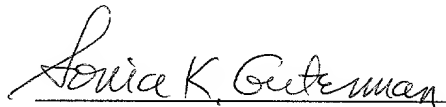
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STATEMENT IN SUPPORT OF COMPUTER READABLE
FORM SUBMISSION UNDER 37 C.F.R. § 1.821(f)

I hereby state that the content of the paper and computer readable forms of the Sequence Listing, submitted in the above-identified application in accordance with 37 C.F.R. § 1.821(c) and 1.821(e), respectively, are the same.

Respectfully submitted,


Ingrid A. Beattie, Esq. (Reg. No. 42,306)
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